No Substitute for Experience:
Presidents, Advisers, and Information in Group Decision-Making

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Abstract:
Despite advances in the study of individuals in international relations, we still know little about how the traits and biases of individuals aggregate. Yet most foreign policy decisions are made in groups, usually by elites with varying degrees of experience, which can have both positive and negative psychological effects. This paper addresses the aggregation problem by exploring how the balance of foreign policy experience among leaders and advisers affects decision-making in war, using a principal-agent framework that allows the relative experience of leaders and advisers to vary. Given experienced advisers, I argue that a leader's experience affects decision-making, and ultimately, the risks associated with conflict, through three mechanisms. First, experience influences a leader's ability to monitor advisers. Second, a leader's experience affects the credibility of delegation to experienced advisers and, in turn, the nature and extent of information gathering. Third, experience affects whether leaders are able to diversify advice, as well as their preference for policies that appear certain. I illustrate the argument using two cases, the 1991 and 2003 Iraq Wars, that hold an unusual number of factors constant. George W. Bush's inexperience exacerbated the biases of his advisers, whereas his father's experience cast a long shadow over many of the same officials. Understanding the experience and biases of any one individual is insufficient—the balance of experience within a group is also important. Experience is therefore not fungible: a seasoned team cannot substitute for an experienced leader.

Political psychology is enjoying a renaissance in international relations, as the papers in this volume show. Both the introductory and concluding essays, however, raise a longstanding criticism: that psychological approaches identify a list of biases without specifying when and how these biases matter. Part of the problem is the unit of analysis. Studies of individual preferences and beliefs rarely address how biases aggregate, but foreign policy decision-making often happens in groups.[1] Many theories of group decision-making that could help bridge this gap, such as "groupthink" or the bureaucratic politics model, do not adequately address how politics can affect groups themselves.[2]

What explains why biases matter in certain cases rather than others, even within the same institution? This paper helps to address the "aggregation problem"—highlighted by both Powell and Stein's conclusions as a vital area of future research—by linking individual-level variation in bias with a political model that relates individuals within a group. In doing so, it addresses two still-unanswered questions raised by new behavioral research in IR, particularly on elites. The first question is how elite experience influences decision-making, a crucial issue given that most foreign policy decisions are made by elites with varying degrees of experience. Although research has demonstrated the importance of leaders' experience,[3] it also suggests that experience is a double-edged sword. Experienced elites think more strategically and are less prone to certain kinds of bias.[4] Yet experience can lead to other biases like overconfidence, and may not lead to better judgment.[5] We still know little about when the benefits or drawbacks of experience will dominate. This leads to a second question: how do groups made up of

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[1] On this point, see, among others, Levy 1997: 102-104; t'Hart, Stern and Sundelius 1997. Rationalist theories also face aggregation problems, but psychological biases are usually assumed to cancel out in groups or institutions.
[2] Janis 1982; Allison and Zelikow 1999.
[3] See for example, Saunders 2011; Colgan 2013; Horowitz, Stam and Ellis 2015.
[4] Hafner-Burton, Hughes and Victor 2013.
[5] Ibid.: 372; Tetlock 2005.

individuals with varying levels of experience and political power make decisions? This question

is important not only for understanding how biases aggregate, but how they might be mitigated,

since accountability can reduce some of the more pernicious effects of experience.[6]

These aspects of decision-making, I argue, are intertwined. The balance of experience

between leaders and advisers shapes how effectively leaders oversee group decision-making, and

whether they can harness the benefits and minimize the risks of an experienced advisory team.

The paper draws on advances in the principal-agent (PA) literature—which has generated

insights in many political settings, but usually within a rationalist framework—as well as in

behavioral economics and political psychology. The PA model and individual-level approaches

can be fruitfully combined, relaxing the rationalism of the former while providing a firmer

political footing for the latter. The behavioral economics and business literature has begun to

incorporate individual-level bias (such as overconfident CEOs or financial professionals),

suggesting that exploring the characteristics of principals and agents is promising.[7]

This paper examines how the balance of experience influences the assessment and

mitigation of risks in war.[8] Given experienced advisers (i.e., agents), I argue that the experience

of the leader (i.e., principal) affects decision-making through three mechanisms. First, a leader's

experience influences how effectively he can monitor experienced advisers. Second, experience

in a leader affects the credibility of delegation to experienced advisers, and in turn, the nature

and extent of information gathering. Third, experience affects whether leaders are able to

diversify the advice they receive, as well as their preference for policies that appear certain.

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[6] Tetlock and Lerner 1999; Tetlock 2005: 218.
[7] See, for example, Goel and Thakor 2008; Pitesa and Thau 2013.
[8] On risk, see McDermott 1998; Vertzberger 1998; Kertzer, this volume.

These processes affect how leaders gather and process information, and assess and mitigate the risks associated with conflict.

Arguments about group decision-making face empirical challenges. I illustrate the argument using two cases, the 1991 and 2003 Iraq Wars, which hold an unusual number of factors constant, especially the identity and experience level of many of the advisers themselves. The 2003 Iraq War has been the subject of several analyses emphasizing psychological bias, including Herrmann's paper in this volume, which focuses on preferences and beliefs.[9] Yet failures arose despite a team with high levels of experience. My framework suggests that bias in decision-making depends not only on the advisory team, but also how their experience interacts with that of the leader. George W. Bush's inexperience exacerbated the biases of his advisers, whereas his father's experience cast a long shadow over many of the same officials.

The paper also addresses an important real-world question: Does it matter whether a leader has previous experience with the substance of international issues and problems, even if the advisory team is already experienced? In recent decades, the United States has tended to elect leaders without significant foreign policy experience—and those leaders, in turn, reassure voters that they will be surrounded by experienced advisers. But experience is not fungible: an experienced team cannot compensate for a lack of experience at the top.

The Politics of Political Psychology in International Relations

In the revival of international relations scholarship on how individuals shape decisions, the role of experience has attracted particular attention. One line of observational research focuses on political leaders, especially how variation in the beliefs and experience that leaders acquire before attaining office affects their decision-making and behavior—including the

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[9] See also Lake 2010/2011.

initiation and conduct of war—once ensconced in power.[10] On the experimental side, studies

drawing on samples of experienced elites have complemented existing research documenting

systematic biases in how individuals perceive and process information.[11]

Much of this research demonstrates that experience correlates with many cognitive traits

in elites, but it also shows that experience can have both positive and negative effects on

decision-making. On the one hand, as Hafner-Burton and colleagues summarize, experienced

elites exhibit more strategic and cooperative behavior, more effective use of heuristics, more

effective playing of iterated games, and greater awareness of other players. On the other hand,

experience can be correlated with certain forms of bias, such as overconfidence, which can lead

to "overestimating one's capabilities and/or underestimating an opponent, the difficulty of a task,

or possible risks."[12] Furthermore, Tetlock's work has shown that experts do not make more

accurate judgments than novices on many political questions.[13] Tetlock finds that experts tend to

be overly attached to their own judgments, suggesting that overconfidence may reinforce

inappropriate or inapplicable heuristics. Additionally, power can also exacerbate biases,

including overconfidence and risk-taking.[14] But much work remains to understand the political

or institutional conditions that might magnify or diminish the effects of experience.[15] The

default assumptions in the rationalist and institutionalist literatures are that these biases cancel or

are aggregated out by institutions, and thus can be largely ignored.

Theories of group decision-making would seem to be natural candidates to address the

aggregation problem. But the literature on group decision-making remains curiously apolitical.

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[10] See, for example, Saunders 2011; Colgan 2013; Horowitz, Stam and Ellis 2015.
[11] See Hafner-Burton, Hughes and Victor 2013. See also Tetlock 2005.
[12] Johnson and Fowler 2011: 317; see also Johnson 2004; Hafner-Burton, Hughes and Victor 2013: 370-373.
[13] Tetlock 2005: ch. 1.
[14] See, for example, Anderson and Galinsky 2006; for a useful summary, see also Renshon 2015: 664-667.
[15] Efforts to group together biases that point in the same direction are helpful, but lack explicit aggregation mechanisms. See, for example, Kahneman and Renshon 2009; Hafner-Burton, Hughes and Victor 2013.

One of the most often-invoked theories remains Irving Janis' "groupthink," a set of symptoms such as "pressures toward uniformity" that stem in part from group cohesiveness.[16] One possible remedy for groupthink is Alexander George's notion of "multiple advocacy," or a diversity of viewpoints that can "improve the quality of information search and appraisal."[17] George argued for "structured, balanced debate among policy advocates" with "no major maldistribution among the various actors."[18] But neither the groupthink nor "multiple advocacy" approaches adequately incorporate the politics surrounding advisers, which can make the balance George advocates unrealistic. A second approach, the bureaucratic politics tradition, emphasizes the "pulling and hauling" among decision-makers (sometimes, though not exclusively, in small groups), but likewise does not adequately address differences in power and influence.[19] A third approach has emphasized the political psychology of small groups. Some research in this vein focuses on leadership style and its effect on the group; other work explores advisory processes, or "openness of debate." [20] Although descriptively rich, this work has also tended to give less attention to the politics, selection effects, and hierarchical relationships that shape the structure of debate itself.

Recent scholarship on leaders, however, explores how individual traits are expressed within institutions or groups. For example, certain regime types, such as authoritarian regimes, may select for individuals with traits like risk-acceptance.[21] From another perspective, as discussed below, approaches to reducing the effects of biases center on accountability, which might occur in some group settings or regime types rather than others. These arguments

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[16] Janis 1982: 244.
[17] George 1980: 193.
[18] Ibid.: 193-194.
[19] Allison and Zelikow 1999; for a critique emphasizing the absence of politics, see Bendor and Hammond 1992: 315-317.
[20] See, for example, Hermann and Hermann 1989; t'Hart, Stern, and Sundelius 1997; Preston 2001; Johnson 2004: 48-49.
[21] Rosen 2005: 6; Colgan 2013: 656-657; Horowitz, Stam and Ellis 2015.

highlight the promise of exploring how individual-level tendencies interact with the politics of groups and institutions.

Theoretical Framework: Experience, Principals, and Agents

Individuals and the Riskiness of Policy Choices

Before turning to the model itself, I first define the dependent variable, which concerns the riskiness of policy options in wartime decision-making, specifically the gap between the latent riskiness of the option chosen and the way leaders assess and mitigate that risk. Following Vertzberger, I assume that risk has both a subjective and an objective component. Vertzberger distinguishes between "real risk" and "perceived risk," which is subjective and can vary across individuals. Although it is impossible to define "absolute risk," he notes that "perceived risk need not be, and often is not, congruent with actual risk" as a result of "unavailable information, misperception, and misinterpretation."[22] Two dimensions of risk may influence this potential gap between latent riskiness and the perceived risk: assessment and mitigation.[23] First, as Kertzer's paper in this volume suggests, there is a perceptual element of risk assessment. This risk perception, which affects estimates of risk itself, is distinct from risk preferences (i.e., those who are risk-acceptant or risk-averse for a given gamble).[24] Biases like overconfidence can affect risk assessment: as Johnson and Fowler note, overconfidence can lead decision-makers to assess options in ways that are blind to risk, act when costs are greater than benefits, or otherwise miscalculate the riskiness of a strategy.[25] Perceiving (or misperceiving) risk to be low for a given option is not the same as holding risk-acceptant preferences or consciously choosing a risky option; rather, decision-makers may believe that risk is low.

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[22] Vertzberger 1998: 18.
[23] On relative riskiness of options see McDermott 1998: 11.
[24] For discussions, see Kertzer, this volume, 10-11; McDermott 1998: 165-170.
[25] Johnson and Fowler 2011: 317.

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Second, the capabilities and actions of policymakers can diminish risk. Setting aside the subjective assessment of risk for the moment, policy choices come with a distribution of risks, often described in terms of the outcome variance; for example, war is commonly seen as a higher-variance option than peace. Planning, information gathering, and investing in capabilities can minimize downside risks and lower the probability of realizing the most costly outcome. One could think of this reduction as lowering the variance of a given option, or of expanding the choice set to include lower-risk options (or less risky versions of the same option).[26] A given policy like war can be undertaken in more or less risky ways depending on whether policymakers have adequate capabilities, plan and mobilize them deftly, and acquire and process information (to accurately assess costs and the probability of success). Furthermore, if risk assessments themselves are biased, decision-makers may not take mitigating steps. For firms, risky projects can be valuable; for states, while high-variance strategies can sometimes be beneficial, managing risk would presumably be desirable.[27] Notably, however, risk is not solely the province of hawks. Decisions to preserve the status quo can involve risk.[28]

Foreign Policy Experience

The focus of this paper is on how the foreign policy experience of top elite decision-makers affects risk in war. I define foreign policy experience as substantive expertise about particular foreign policy areas, often (though not exclusively) acquired prior to taking office. Despite recent advances, it remains challenging to specify what kind of experience matters.

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[26] Both the variation in risk perception and in actual outcome variance will also affect the overall risk of war, as in a bargaining model. In the case of intervention by an overwhelmingly powerful state, however, it makes sense to focus on the decision-theoretic aspects within the intervening state.

[27] Johnson and Fowler (2011) argue that overconfidence can be a useful motivator, but can lead to costly wars in a modern setting.

[28] Vertzberger 1998: 25.

There are empirical hurdles to studying experienced elites, especially in the context of "ill-structured" problems like those in political science, where experts may not agree on a solution.[29]

Nonetheless, existing research suggests two important points about foreign policy experience. First, a base of substantive, domain-specific knowledge is important, and is distinct from procedural experience and acumen (such as good organizational or bargaining skills). A substantive knowledge base is critical for helping an expert convert a problem into a manageable task, break a problem down into component parts, and analyze it at a deeper level than a novice.[30] This knowledge base also allows an expert to use information differently, and gives policymakers the cognitive architecture and context to assimilate new information.[31] Experts can use heuristics to assess what information is important, use their previous experience with patterns to make connections between pieces of information in ways that a novice could not, and gather and assess information more quickly and efficiently.[32]

Research in the political and international realm confirms the importance of a knowledge base. Jervis argues that it is a feature of rational foreign policy analysis to sort and process information in a way that is consistent with existing beliefs, and that cognitive context is essential to effective, rational decision-making.[33] Even Tetlock, who is skeptical about the value of expertise, acknowledges that a knowledge base is important.[34] Indeed, researchers with the Good Judgment Project found that political knowledge was a key predictor of better forecasting.[35] Furthermore, Hafner-Burton et al. show that domain-specific experience with a

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[29] For a discussion, see Voss and Post 1988: 262- 263.
[30] See, for example, Glaser and Chi 1988; Voss and Post 1988.
[31] Jervis 1976: ch. 4.
[32] For an overview, see Glaser and Chi 1988; see also Voss and Post 1988.
[33] Jervis 1976: 145; 161.
[34] Tetlock 2005: 56.
[35] Mellers et al. 2015: 3.

particular policy area is an important factor in crisis behavior.[36] In the bargaining game explored

by Rathbun, Kertzer, and Paradis in this volume, high-cognition egotists are able to think more

strategically from the start of the game, while their low-cognition counterparts only catch up

after successive rounds, because they "need the experience and reinforcement provided by the

game."[37] These findings dovetail with public opinion research, where political knowledge

provides survey respondents with the cognitive context to express opinions.[38] The findings of

Rho and Tomz in this volume illustrate the importance of this kind of domain-specific

knowledge—in this case, about trade policy.

A second feature of existing research is that there is broad consensus that expertise is

highly specific, and is not easily transferred across different domains or subject areas.[39] This

finding stretches back to research on chess, where even masters were flummoxed by the random

placement of pieces on the board.[40] This domain-specificity applies to ill-structured problems:

as Voss and Post conclude, methods are not enough and "the applications of the methods per se

will lead to inadequate solutions unless the individual has and employs substantial knowledge of

the domain in question."[41] Expertise in one area does not readily equip a policymaker to grapple

with issues in another domain.

Thus existing research suggests that substantive knowledge of a policy domain is crucial

and distinct from executive experience, especially in other domains. One could further speculate

that for decision-making on national security, experience with or exposure to issues of security

and defense policy would be important, and that exposure to foreign and international economic

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[36] Hafner-Burton, Hughes and Victor 2013.
[37] Rathbun, Kertzer, and Paradis, this volume: insert page number.
[38] Zaller 1992: 24-26.
[39] For a summary, see in Hafner-Burton, Hughes and Victor 2013: 379, n.28; see also Glaser and Chi 1988: xvii.
[40] Chase and Simon 1973.
[41] Voss and Post 1988, 283.

issues is insufficient. Studies suggest a variety of ways that future leaders acquire experience, including education, military experience, and previous governmental experience.[42] Among recent presidents, truly broad-reaching experience—as George H.W. Bush had—is rare. But leaders with significant knowledge of foreign policy are more likely to know what questions to ask and what information may be missing from the discussion, and thus are better-equipped to assess the quality of proposals.

While other cognitive traits may be amenable to the aggregation mechanisms described below, prior foreign policy experience, and especially the *relative* experience of leaders and advisers, offers several advantages for explicating the model. This relative balance is simple to observe, not only for scholars, but also for decision-makers in the group. Experience is tied to events and characteristics in an individual's background, rather than a trait that may only emerge in crises. Thus the balance of experience is more likely to be known from the outset and to generate the kind of monitoring and delegation effects described below. Additionally, observing experience prior to crises allows measurement independent from behavior (a significant challenge for non-experimental research on individuals).

The Principal-Agent Framework

All leaders must rely on the expertise and information-gathering resources of others to help them make decisions, but their agents—who include bureaucratic officials and military leaders—may have different preferences or incentives.[43] In the rationalist literature, two key problems stem from the identity and actions of the agents.[44] First, principals may have

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[42] Saunders 2011; Horowitz, Stam and Ellis 2015.
[43] For overviews of the formal literature, see Bendor, Glazer and Hammond 2001; Gailmard and Patty 2012. Applications relevant for IR include Gailmard and Patty 2013; Feaver 2003. I bracket the principal-agent relationship between the president and voters except to note that voters want the president to counter threats without taking serious risks (Downs and Rocke 1994).
[44] See Kiewiet and McCubbins 1991.

incomplete information about the agent; this adverse selection problem can be alleviated through

selection and screening mechanisms. Second, moral hazard problems may arise, leading to

riskier behavior or actions that deviate from the principal's preferences. Actions that seemingly

accord with the principal's preference but that undermine the principal's authority or long-term

interest, or tie the principal's hands, can constitute "shirking."[45] Monitoring or punishment can

help alleviate moral hazard, but entail costs.[46] On top of the problems that emerge in the

rationalist literature, agents can have cognitive biases that the principal may wish to manage.

I argue that the relative experience of the principal with respect to the agents affects the

principal's ability to manage agency problems, including managing the biases associated with

experience. Thinking about a president and a team of foreign policy advisers, a useful starting

point is to consider four possible combinations of the balance of experience, as shown in Table 1.

First, in the upper left, an experienced president (principal) might appoint experienced advisers,

as George H.W. Bush did. In the upper right, if the president himself is experienced, he may

reserve the key foreign policy role for himself, as in the case of FDR or JFK (upper right). In the

lower left, an inexperienced president might appoint experienced advisers, as George W. Bush

did. Alternatively, in the lower right, both the president and his advisers might lack experience.

A mild version of this scenario might be an inexperienced president whose party has been out

power for a long time, weakening the foreign policy "bench"; a more extreme version would be

if experienced advisers refused to serve an inexperienced nominee (as many Republican foreign

policy hands refused to work for Donald Trump, diluting the pool of potential advisers). Since

most presidents appoint experienced advisers, I focus on the left-hand column, holding constant

experienced agents and varying principal experience.

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[45] Feaver 2003: 66-67.
[46] Ibid., 72-75; see also Kiewiet and McCubbins 1991: ch. 2.

How the Principal's Experience Shapes Group Decision-Making: Three Mechanisms

The basic problem for the principal is how to harness the agents' experience while reigning in problematic biases. I identify three mechanisms through which the experience of the principal magnifies or diminishes bias: monitoring, delegation and information acquisition, and diversity of views. Table 2 summarizes these mechanisms and their effects on decision-making.

Experience as a Monitoring Device

Monitoring is an important tool for principals to ensure that agents serve their interests. Although often discussed within a rationalist setting, scholars of organizational behavior have explored how monitoring affects agents with varying psychological characteristics and reduces certain forms of bias. For example, agents who feel more powerful (relative to other agents) or more responsible for decisions engage in more self-serving and risky financial investment decisions, but these effects are mitigated by monitoring.[47] But monitoring not only entails costs to the principal in terms of effort and attention, but can also be counterproductive because it can undermine trust. The costs of monitoring are thus partly psychological: Frey argues that such trust effects dominate the "disciplining effect" of monitoring when the relationship between principal and agent is personal—generating a "psychological contract"—rather than anonymous (or market-driven).[48]

For presidential principals managing a team of experienced advisers, I argue that experience itself can serve as a monitoring device that is both efficient and often implicit, so that it does not impose undue costs or undermine trust. Experienced principals are better able to undertake direct monitoring that evaluates the work of agents, because their stock of knowledge allows them to take in and assimilate new information, or at least have a better sense of what

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[47] Kirby and Davis 1998; Pitesa and Thau 2013.
[48] Frey 1993; see also Falk and Kosfeld 2006.

questions to ask or where there are gaps in the discussion. If, in contrast, the principal is not experienced enough to understand and process information, then the revelation of hidden action by agents might not mitigate the moral hazard problem. Laypeople face this problem in everyday life when hiring agents with specialized knowledge: a patient who gets a detailed explanation of treatment usually lacks the background to judge a doctor's actions.[49]

Experienced principals may also be more effective at indirect or implicit monitoring. Agents who serve a principal with a reputation for expertise or experience in a given domain like foreign policy know that their work will face an independent check. As Lerner and Tetlock argue, accountability can be an important tool for de-biasing, but its effectiveness depends on the audience to whom decision-makers are accountable.[50] Preemptive self-criticism—which would presumably prompt consideration of alternatives, as well as better estimates of uncertainty or probability of success—is more likely when the "evaluative audience" is "perceived to be well informed (so that it cannot easily be tricked) and powerful (so that decision makers want its approval)."[51] Accountability can also attenuate overconfidence under certain conditions.[52]

If advisers know that the principal will ultimately review their work, they may assess alternatives, probabilities, and risks more accurately. Weak monitoring (either implicit or explicit), however, may effectively underwrite more risky policy options (given that advisers can frame the way options, information, and estimates of costs and uncertainty are presented). Even apparently successful policies can be based on suboptimal risk assessments or plans, so poor monitoring would allow such risky behavior to continue in future decision-making. These arguments lead to the following hypothesis:

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[49] On this form of information asymmetry, see Arrow 1963: 951-952.
[50] Lerner and Tetlock 1999; Tetlock and Lerner 1999; Mellers et al. 2015.
[51] Tetlock and Lerner 1999: 575.
[52] See ibid., Table 1; Johnson 2004: 41-43.

H1: An inexperienced principal leads to less effective explicit and implicit monitoring of advisers.

Experience, Delegation, and Information Acquisition

A second mechanism through which an experienced leader can affect bias among experienced advisers is by facilitating delegation, a process which has long interested scholars of Congress and the bureaucracy and which affects information-gathering. Delegation can help busy principals draw on the expertise and information of agents, but a significant question is how to motivate agents to invest in acquiring potentially costly information.[53] The principal may have difficulty committing not to use the information gathered by agents for his own purposes.[54] Delegation can give agents discretion over policy and therefore incentivize them to gather information—thus affecting both the objective and subjective empowering of agents—but credibly committing to delegation is a major challenge. Successful delegation may result in policies that diverge from the principal's preferences, or "bureaucratic drift." Some models thus see a trade-off between this drift and the level of information applied to a decision.[55]

These models of endogenous information acquisition, however, are built on the strong assumption that all actors known that the relationship between policy choices and policy outcomes is simple and linear, and the difference between an expert and a non-expert is a single piece of information about the state of the world. For example, all policymakers might know that more defense spending might lead to more aggressive security policies, but only experts know the current level of an adversary's military capabilities.[56] As Steven Callendar argues,

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[53] See Gailmard and Patty 2012 for a review.
[54] Callendar 2008; Gailmard and Patty 2013.
[55] See, for example, Bawn 1995. Recent models suggest that the principal may do best by completely delegating to agents and not reviewing their recommendation, because more information will be used by the agent. See Dessein 2002; Gailmard and Patty 2013.
[56] Hirsch and Shotts 2012: 69-70.

existing models assume that if a layperson (or uninformed principal) acquired this information, the principal would instantly become an expert—and thus could appropriate the information to implement its preferred policy.[57] If, however, policy is highly complex, then expertise cannot be "inverted" (in Callendar's terms), in the sense that merely passing on this information cannot render the principal an expert and thus it is much more difficult for principals to expropriate the information. Policy complexity thus functions as a form of *de facto* delegation, and "when delegated to, an expert agency acts as if the threat of oversight did not exist."[58] The price, of course, is that the agent achieves its ideal outcome. As Callendar notes, however, his model is informational, but a fruitful avenue would be to "view expertise as an ability…rather than a difference in knowledge."[59]

I argue that a principal's inexperience can enable credible delegation by rendering information non-invertible. Agents who serve an inexperienced principal may believe he is unlikely to fully grasp the complexities of policy options or ask probing questions, and thus these agents will be more likely to believe they have authority. An experienced principal, in contrast, may be at an informational disadvantage in any given crisis, but has a greater ability to detect low-quality proposals or gaps in information (or simply know when he is working without full information), as well as assimilate new information.[60]

Some aspects of these effects, such as bureaucratic drift, could be accommodated by a rationalist framework. But cognitive differences within a group can lead to more risky behavior. First, credible delegation to experienced agents may increase their real and subjective sense of

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[57] Callendar 2008: 127. This assumption makes sense only when the relationship between policy choice and policy outcomes is sufficiently simple (Hirsch and Shotts 2012: 69-70).

[58] Callendar 2008: 125.

[59] Ibid.: 138.

[60] The experienced principal may not achieve the same degree of credible delegation, but rather approximates a "closed rule," as when Congress delegates to a committee and commits not to amend its recommendation, thus retaining a veto but incentivizing the committee to invest in expertise. See Gilligan and Krehbiel 1987.

power, leading to biased risk assessment or mitigation strategies. Second, delegation may lead agents to define the problem in specific, possibly more limited ways than the principal would.[61] Agents may invest in information only about a portion of the policy. The military, for example, might declare that it does not "do" nation-building. Third, studies in business and finance suggest that overconfidence can affect information acquisition. For example, Gael and Thakor argue that overconfident CEOs underinvest in information about risky projects because they perceive the initial signal they receive about the project as more precise than a rational CEO; overconfident CEOs thus believe less information investment is required to achieve the same level of precision.[62] These arguments lead to the following hypotheses:

> *H2*: An inexperienced principal is likely to make delegation more credible, increasing perceived and actual levels of power and overconfidence among advisers.

> *H3*: An inexperienced principal may decrease incentives for advisers to invest in information, or lead to information-gathering on agent-defined alternatives.

Experience and Diversity in Decision-Making

A third mechanism through which experience can shape bias in decision-making is by affecting whether and how divergent viewpoints are incorporated into decision-making. Although evidence for either the groupthink phenomenon or the benefits of multiple advocacy has been elusive, there is some evidence that in small doses, diversity can be helpful to group dynamics.[63] There is also the phenomenon of "group polarization" or the "risky shift," which suggests that when groups of like-minded individuals deliberate, groups behave in riskier ways than their average member.[64] One mechanism driving group polarization is "the existence of a limited argument pool, one that is skewed…in a particular direction."[65] In a study of corporate

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[61] Jones, Boushey and Workman 2006: 58-60.
[62] Goel and Thakor 2008: 2761-2762.
[63] Page 2011: 2.
[64] Myers and Lamm 1976: 602.
[65] Sunstein 2002: 179.

boards, Zhu argues that one way to counter group polarization is to have a credible representative of a minority position; he finds that when directors with minority viewpoints have more experience with acquisitions, the degree of group polarization around subsequent acquisition decisions is reduced.[66]

Thus presidents might wish to pursue "multiple advocacy" by appointing an authoritative, experienced individual who is more likely to take an alternative view, potentially diversifying the argument pool and endowing these alternative arguments with credibility in the eyes of others within the group. One route to such credibility is an independent power base or source of popularity, as in a "team of rivals."[67] Yet empowering a credible source of dissent comes with potential costs, since it gives an adviser with independent standing access to inside information and a platform, and the risk of defection remains.

Although empowering a rival poses challenges for any president, they are likely to be greater for inexperienced leaders. Disagreement with an adviser with a strong reputation on foreign policy might confirm the image of the leader as inexperienced. Inexperienced leaders are thus more politically beholden to their experienced advisers, which might suggest that such advisers would be empowered, but could give them disproportionate voice for the wrong reasons. Alternatively, knowing the political risk, inexperienced leaders might choose to cut potential dissenters out of the loop, negating the benefits of their distinct viewpoint. The need to appoint someone to cover inexperience may thus result in a form of adverse selection, or undermine mechanisms to avoid adverse selection.

Inexperienced leaders may rely on more homogeneous advice not only because they face greater political risk from diversity, but also because they may incentivize proposals that are

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[66] Zhu 2013: 806-807.
[67] Goodwin 2006.

crafted to look more certain. Psychologists have documented "ambiguity aversion," or the

dislike of outcomes whose probability is uncertain (as opposed to a risky choice whose

uncertainty is known).[68] Although there is little evidence on whether experience reduces

ambiguity aversion, one argument might be that inexperienced elites are more likely to prefer

certainty (and are more averse to ambiguity), leading to incentives to present inexperienced

principals with choices that are framed in more certain terms. These arguments suggest two final

hypotheses:

> *H4*: An inexperienced principal is more likely to marginalize potentially divergent
> viewpoints.
>
> *H5*: Advisers are more likely to present inexperienced principals with proposals that are
> framed with high degrees of precision.

Research Design

In studying group decision-making, a significant methodological challenge is to find

comparable groups and situations. My approach is to vary principal experience while holding

adviser experience and the identities of the advisers as constant as possible. The repeated US

involvement in Iraq provides a particularly useful comparison between two presidents who

represent extreme values on the independent variable while holding an unusual number of factors

constant. The comparison is imperfect—given the intervening factor of 9/11, the decay within

Iraq, and the connections between the wars themselves—but nonetheless affords significant

advantages. The two presidents involved were both Republicans from the same family. The

elder Bush had an unusually high level of foreign policy experience, including service as the US

envoy to China, ambassador to the United Nations, CIA Director, and Vice President. The

younger Bush, in contrast, had virtually no foreign policy experience. Crucially, many of the

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[68] Halevy 2007.

same individuals served on the Bush 41 and 43 teams, and were highly experienced in both cases: many Bush 41 advisers served at high levels of previous Republican administrations.

Many commentators have invoked individual biases or some aspect of group decision-making—usually groupthink—to explain the lack of postwar planning in the 2003 Iraq War.[69] Existing accounts suffer from several drawbacks, however. First, Janis' groupthink argument focused on group cohesiveness, but the Bush administration was riven with tension.[70] Second, key officials also had their own agendas that did not always align. Third, there remains the aggregation problem. Johnson persuasively argues that overconfidence shaped the conduct of the Iraq War, and that "openness of debate" affected the level of overconfidence itself.[71] But given that not all U.S. cases display this degree of overconfidence, what are the political antecedents of the nature of debate? Furthermore, why was a group that included many veteran decision-makers and a well-respected skeptic (Colin Powell) unable to test their assumptions?

It is important to specify which aspects of the Iraq decision-making my argument addresses. The 2003 Iraq decision can be thought of as the evaluation of the expected utility of (1) intervening with a "light footprint"; (2) intervening with a large footprint; or (3) not intervening at all. The choice of strategy—i.e., between (1) and (2)—was directly influenced by Bush, who was averse to nation-building prior to taking office and appointed advisers who shared this aversion. Instead, the debate reduced to a light-footprint invasion, or not intervening at all. Yet the evaluation of the risks of a light-footprint invasion was cursory. Had it been more thorough, the administration might not have initiated the war.[72] Notably, the Bush 41 team was also not enamored of nation-building, but left Saddam Hussein in power in 1991.

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[69] See, for example, Houghton 2008; Johnson 2004: 20-23 (but see Yetiv 2011: 248).
[70] See Baker 2013.
[71] Johnson 2004: 213.
[72] Lake 2010/2011: 40; Jervis 2008/2009: 665.

Some cognitive or ideational tendencies may have affected the comparison between invading with a light footprint or staying out, especially after the shock of 9/11.[73] But an increase in the estimated cost of doing nothing does not explain the poor estimates for the costs and probability of success of a light-footprint invasion.[74] Furthermore, many accounts also stress Bush 41's emotional response to Saddam's aggression in Kuwait, which may have raised the perceived costs of doing nothing in that case as well.[75] In the 2003 case, there were also differential costs to the participants. Fallows argues that "the President must have known that however bright the scenarios, the reality of Iraq eighteen months after the war would affect his re-election."[76] Lake notes that administration officials "paid dearly" in terms of their reputations and the Republican majority.[77] But blame often attaches to presidents, and those serving Bush did not face reelection and may have seen their careers as nearing an end.

In the following section, I examine how the balance of experience affected decision-making in 2003, focusing on the postwar planning. Given space constraints, I then briefly discuss the 1991 case. Ultimately, the decision-making in 1991, particularly with respect to the postwar phase, resulted in a smaller gap between the latent and actual risk than in 2003.

George W. Bush, Experience, and the 2003 Iraq War

Accounts of the Iraq War often focus on the dominance of members of George W. Bush's administration, including Vice President Dick Cheney and Defense Secretary Donald Rumsfeld. Bush was comfortable with invading Iraq with a light footprint, reflecting some shared beliefs

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[73] Jervis 2003: 317-318. One could interpret 9/11 as moving the United States into a domain of losses, but as Hafner-Burton et al. (2013: 370) argue, experienced elites are more likely to resist the pressures of reference framing.
[74] On this point, see Fallows 2004.
[75] Wayne 1993: 37-38.
[76] Ibid.
[77] Lake 2010/2011: 18-19.

with his advisers. But the inadequate estimates of the costs and risks of this approach arguably stemmed at least in part from the mechanisms outlined above.

First, Bush's inexperience led to poor monitoring of his subordinates (consistent with *H1*). There was little explicit monitoring, in the sense of presidential probing and questioning of plans. For example, Fallows notes that "in several months of interviews [he] never once heard someone say 'We took this step because the President indicated...' or 'The President really wanted...'"[78] Fallows concludes that to "fully understand how intelligent people convinced themselves" that a "successful occupation *would not require* any more forethought than they gave it," Bush's leadership was a key factor, including his "lack of curiosity about significant details."[79] Bush's inexperience also contributed to an atmosphere in which subordinates would not perceive themselves as accountable to a well-informed leader. For example, in a meeting with Iraqi exiles in January 2003, the "very notion of an Iraqi opposition appeared to be new to him," and Bush also seemed "unfocused on the key policy questions of the future of the Iraqi army, debaathification, and an interim government."[80] The inadequacy of Bush's probing of his subordinates was on display when he asked National Security Advisor Condoleezza Rice, "A humanitarian army is going to follow our army into Iraq, right?"[81]

One of the few times Bush did step in forcefully illustrates the difficulties of an inexperienced principal. Dyson argues that Bush was on an "intellectual journey on questions of stability and governance in post-conflict states," from his position against nation-building to a more expansive vision of democracy in Iraq "that would require a good dollop of nation-building." Bush thus "risked setting a target of creating democratic institutions without fully

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[78] Fallows 2004.
[79] Ibid. (emphasis in original).
[80] Packer 2005: 96.
[81] Gordon and Trainor 2006: 111.

committing to the 'armed social work' necessary to achieve them."[82] Even if we assume that

Bush had shifted to a democratization position, he still had to live with his initial policy choices,

which strongly deemphasized nation-building and reduced resources available for such missions.

When Bush personally empowered L. Paul Bremer to take over following the initial post-

invasion stumbles, he did not take into account how difficult it would be to change US policy on

a dime, nor did he take steps to mitigate those risks. Available capabilities were insufficient for

the shift toward a more transformative vision for Iraq. Furthermore, Bush gave Bremer

ambiguous instructions and failed to resolve existing tensions, exacerbating postwar problems.[83]

Bush's inexperience also enabled credible delegation to his subordinates (*H2*). Bush

"styled himself as an MBA president and believed good management was to pick good people

and then delegate to them."[84] But Bush's inexperience in foreign policy also empowered those

who worked for him. Bush lacked the context for asking the right questions when absorbing new

information. For example, in a briefing on March 4, 2003, Undersecretary of Defense Douglas

Feith briefed Bush and the NSC on postwar planning; as Bob Woodward puts it, the briefing was

"a lot of abstract political science, and the president didn't have much to say other than to remark

that he wanted to see information on how they would deal with the military and intelligence

services."[85] On March 5, CENTCOM Commander Tommy Franks made his final prewar

presentation to the NSC, in which Bush asked about postwar plans. Franks asserted that there

would be "lord mayors" in major cities and towns, a response "which seemed to satisfy Bush and

[82] Dyson 2013: 467-468.
[83] Ibid.
[84] Baker 2013: 272.
[85] Woodward 2004: 329.

there was little follow-up."[86] Policy information was thus not "invertible"—Bush could not simply be briefed into a full understanding of the risks of invading Iraq.

This lack of invertibility empowered Bush's subordinates, particularly Rumsfeld and Cheney. Peter Baker notes that in considering the vice presidency in the first place, Cheney "surely understood that a president with as little knowledge or interest in details as Bush would leave him plenty of room to maneuver."[87] Though Bush relied on Cheney, as Baker describes, by the fall of 2002 there were "Bush people and Cheney people," with "fissures…that reflected profound differences in policy and personality." Bush "allowed a fractious struggle to play out beneath him without resolving it firmly one way or the other."[88] Ari Fleischer, Bush's first press secretary, posited that Bush "would almost always agree with Cheney and Rumsfeld about what the objectives should be, which was a hawk, but what people miss is that he would agree with Condi Rice and Colin Powell about how to achieve it."[89] But Cheney clearly felt empowered. In August 2002, for example, Bush was reportedly displeased with Cheney's aggressive speech to the Veterans of Foreign Wars, but "chose not to confront Cheney, instead telling Rice to do it."[90] In discussing Iraq at one of their weekly lunches, Cheney asked Bush, "Are you going to take care of this guy or not?"[91] Rumsfeld was also disproportionately empowered. Dyson argues that Bush's inexperience gave Rumsfeld significant control over policy. Dyson reports that Kim Holmes, an Assistant Secretary of State in the first Bush term, saw the imbalance between Bush as a "novice" on foreign policy and those who "had been around for decades" as problematic because he did not "have an independent base of knowledge that enables you to be

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[86] Gordon and Trainor 2006: 160.
[87] Baker 2013: 61.
[88] Ibid.: 229.
[89] Quoted in ibid.: 230.
[90] Ibid.: 211.
[91] Ibid.: 247.

able to choose between [different positions], to stop the fighting and make a decision that sticks."[92] As Baker notes, a significant problem was that "Bush generally left it to Rice to manage the rivalries," but she was herself far less experienced than Cheney and Rumsfeld, who frequently circumvented her.[93]

This delegation to Cheney and Rumsfeld also biased the search for and production of information (*H3*). First, information acquisition was largely limited to Rumsfeld's goal of toppling Saddam with a small force and the optimistic assumptions of the postwar phase were not tested. Rumsfeld obtained control over the postwar planning in the Pentagon, but he was able to define the mission in accordance with his agenda to transform the military into a leaner force.[94] But no measures were taken to guard against downside postwar risks.[95] Although Bush's views influenced the removal of nation-building as an option, the president, as Fallows notes, had incentives to manage risks more effectively.

Second, Dyson reports that Rumsfeld in particular saw bureaucratic infighting through the lens of power and control.[96] This power may have contributed to the failure to acquire information; Fallows notes that at the "zenith of his influence" during the planning phase, Rumsfeld "was not careful about remembering his practical obligations."[97] Third, specific biases have been documented among those who shaped information acquisition: availability bias from the apparently successful light-footprint operation in Afghanistan[98]; motivated bias to ignore

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[92] Quoted in Dyson 2009: 332.
[93] Baker 2013: 231-232; see also Dyson 2009: 332-333.
[94] Jervis 2008/2009: 666.
[95] Bensahel 2006: 458.
[96] Dyson 2009: 335.
[97] Fallows 2004.
[98] Jervis 2008/2009: 665.

contradictory evidence[99]; and overconfidence.[100] But Bush's credible delegation and failure to monitor gave these biases extended life.

The Iraq decision-making was also notable for its marginalization of alternative views (*H4*). There was one insider with the stature and visibility to credibly voice an alternative perspective: Colin Powell. Shortly before Bush's inauguration, James Traub predicted that "if there is to be a regent in foreign affairs, it will…be Colin Powell…thanks to his standing in the world—and Bush's lack of it—Powell will be able to operate with tremendous latitude…It's not easy to see how Bush could fire him or perhaps even overrule him."[101] Yet at Powell's announcement ceremony he "dominated the event," raising the possibility of "a secretary of state with the potential to overshadow his boss."[102] Rumsfeld was selected in part to counterbalance Powell, who was almost immediately marginalized. In the end, Powell's main influence was to get Bush to involve the United Nations. Indeed, the administration exploited Powell's skepticism, choosing Powell to make the now-infamous presentation to the UN. Bush asked him explicitly in a private Oval Office meeting on January 13, 2003, "Are you with me on this? I think I have to do this. I want you with me." When Powell expressed his support, Bush told him, "Time to put your war uniform on."[103]

Another factor contributing to the lack of diversity in the Iraq decision-making was the drive for certainty, stemming in part from the desire to present an inexperienced president with a consensus view (*H5*). As Jervis argues, there was a widespread psychological need to downplay the costs of the administration's limited postwar approach, because probing further might prompt

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[99] Ibid.
[100] Johnson 2004.
[101] Traub 2001.
[102] Mann 2004: 265.
[103] Quoted in Baker 2013: 241.

awareness that the war was too risky.[104] This tendency may have stemmed from Bush's

decision-making style, widely seen as instinctual, stubborn, risk-acceptant, and resistant to new

information.[105] But why did this administration fail to draw on the benefits of an experienced

team and instead suffer from its drawbacks? Apart from Bush's style, a contributing factor may

have been his inexperience and desire that his advisers present him with a consensus. Packer

suggests that "the advisers around the president understood his strengths and what he needed to

know in order to make decisions." A senior administration official told Packer in an interview

that "no one ever walks into the Oval Office and tells them they've got no clothes on—and

persists….I think it's dangerous that we have an environment where our principal leader cannot

be well-informed. It's part and parcel of the office," but more so in this administration, which

was "scary, because of the president and the atmosphere and the people there."[106] Further

research with elite subjects might explore whether less-experienced individuals are more likely

to feel ambiguity aversion.

It is important to consider at least three potential alternative explanations. First, perhaps

motivated bias accounts for most of the failure: knowing too much might have impeded the war

itself. Second, there is the argument that postwar planning would undercut the political case that

the war would be easy. I do not argue that motivated bias or fear of political fallout played no

role, only that they are incomplete explanations. As Fallows notes, the failure to consider the

domestic political consequences of postwar problems is puzzling, especially given that many

Bush-era decisions were politicized. The Bush administration was also notoriously secretive, so

officials might well have believed it possible to keep postwar plans secret (and there was some

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[104] Jervis 2008/2009: 665.
[105] For a useful summary, see Dyson 2010/2011: 558-560; see also Packer 2005: 390.
[106] Packer 2005: 393-394; see also Dyson 2009: 333.

successful planning for humanitarian contingencies). Third, perhaps the hawkish Bush

administration preferences mattered most. I address this explanation at the end of the paper.

George H.W. Bush, Experience, and the Gulf War

One of the often-noted ironies of the 2003 Iraq War is that so many of the participants

had chosen not to remove Saddam Hussein in 1991. Three features of the 1991 case are notable.

First, the elder Bush was directly involved in the details of the crisis. As Woodward reports

(channeling Powell's perception), "Bush wanted the details, all the details. He wanted to be the

player, the guy who made as many of the calls as possible."[107] Steve Yetiv's study of decision-

making in the Gulf War highlights the elder Bush's experience and skill in foreign affairs, and

quotes Sandra Charles, Bush's Deputy National Security Advisor, as observing that Bush "was

attached to and aware of Gulf politics and acted on the basis of knowledge."[108] In the NSC

meeting following Saddam's invasion of Kuwait, Bush "immediately took charge" from his own

National Security Advisor, Brent Scowcroft (who had himself served in that position in the Ford

administration). Woodward notes that Bush, "the former U.N. ambassador," asked about the UN

diplomacy, and as "the former ambassador to China," asked about China's response.[109]

Woodward reports another moment in October 1990 when Bush told his chief pollster that he

"felt he knew more than anyone about the region, and also about the diplomacy, the military, the

economics, and the oil," and that "it was important that he had served as United Nations

ambassador, U.S. envoy to China, CIA director and Vice President. Those experiences allowed

him to see all the pieces. Now he could put them together."[110]

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[107] Woodward 1991: 225.
[108] Quoted in Yetiv 2011: 159; see also 158-159; 191-192.
[109] Woodward 1991: 225-226.
[110] Ibid.: 315.

Second, the shadow of Bush's experience loomed large over his subordinates (*H1*).

Yetiv argues that "others around [Bush] understood that he was willing and able to play a

predominate role. They must have factored that into their calculations."[111] Stephen Wayne's

analysis of Bush's decision-making style suggests that "although Bush was reported to be a good

listener in the strategy sessions of the group, his personal experience and knowledge in foreign

affairs, which exceeded that of most of the participants with the possible exception of Scowcroft,

may have worked to intimidate those who might have fundamentally disagreed with the

president."[112] Wayne notes that on military issues, "where the president lacked detailed

knowledge and experience," Bush tended to be more deferential and open to suggestions.[113]

Although Bush did delegate, especially to the military, he retained significant control over

decisions (*H2*). Woodward reports that "often Powell and Cheney returned from [principals'

meetings] and said to each other, now what did that mean? What were we supposed to do?

Frequently, they had to wait to hear the answer later from Scowcroft or from television."[114]

Bush also influenced decisions through implicit accountability. Yetiv notes that "even when he

did not participate in group meetings….[Bush's] influence worked its way down to the deputies

group serving the group of eight [principals]. In this sense, indirect influence obviated a direct

presidential presence."[115]

Bush's expertise on foreign policy affected how the principals, including Cheney (then

serving as Secretary of Defense), gathered and produced information (*H3*). In October 1990, for

example, when Bush asked for a briefing on an offensive option against Saddam, Cheney and

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[111] Yetiv 2011: 191-192.
[112] Wayne 1993: 45.
[113] Ibid.: 45; 48.
[114] Woodward 1991: 302.
[115] Yetiv 2011: 192.

Powell went over the plans at the Pentagon, asking "question after question" and deeming parts of the plan "unwise." Cheney "concluded that an attack with the U.S. forces now in place and based on this plan would be a risk of a high order." As Woodward reports,

> Cheney recognized that he had an obligation to present this brief to President Bush. The President needed to know exactly where [Norman] Schwarzkopf was, the status of the deployment, and what might happen if offensive operations were ordered. The President, Scowcroft, and [White House Chief of Staff John] Sununu at least had to be educated on the magnitude of the task. Cheney did not want to walk over to the White House one day, months down the road, to say, 'Here's the plan, bang, go.' The President had to comprehend the stakes, the costs and the risks, step by step.[116]

As Cheney had put it in a speech the previous month, "The President belongs to what I call the 'Don't screw around' school of military strategy."[117] When Bush received the briefing the following day, "he and Scowcroft had many questions on various subjects," and came to a conclusion "similar to Cheney's," that the military was "not ready for an offensive operation."[118]

Third, dissenting voices were not completely marginalized (*H4*). Ironically, groupthink dynamics, in terms of the collegiality and cohesiveness highlighted by Janis, seem to have been more operative in the 1991 case than in 2003. In Woodward's account, Powell at several points complains about the collegiality of the Bush 41 inner circle amid his attempts to bring his opposition to the use of force to the table.[119] But Stanley Renshon argues that "one cannot assume that the decision was necessarily flawed or that no differences were present or expressed."[120] Indeed, in October 1990, Cheney suggested that Powell bring his proposal for containing Saddam to a private meeting with the president to which Powell was not usually invited.[121] Additionally, "Bush was in frequent personal contact with a range of world leaders,

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[116] Woodward 1991: 306.
[117] Quoted in ibid.: 307.
[118] Ibid.: 307.
[119] Ibid.; see also 302.
[120] Renshon 1993: 92.
[121] Woodward 1991: 302.

not all of whom shared his views or favored his plans." Renshon concludes that "alternative points of view could easily have been presented and forcefully pressed."[122]

Perhaps most pertinent for purposes of comparison with the 2003 case, on the question of when and how to end the war, Powell—who seems to have expressed dissatisfaction with the decision-making in the run-up to the war—did have his voice heard. As Rick Atkinson writes, "George Bush was depicted as the locomotive engineer who drove the war train…Colin Powell was the brakeman."[123] One could imagine the momentum of the war leading to mission creep. But Bush heeded the military's concerns that the war was becoming so lopsided as to tread on morally dangerous ground. Bush's political goals were also limited to ejecting Saddam from Kuwait. Even before the war began, Bush was worried about the ending, dictating into his tape recorder: "How do we keep from having overkill?...I think we need to watch and see when our military objectives are taken care of in Baghdad and Iraq…."[124] Of the decision not to take out Saddam—later criticized by some for failing to finish the job and for allowing the Iraqi uprisings to lead to a humanitarian crisis—Bush and Scowcroft wrote,

> Trying to eliminate Saddam, extending the ground war into an occupation of Iraq, would have violated our guideline about not changing objectives in midstream, engaging in 'mission creep,' and would have incurred incalculable human and political costs…We would have been forced to occupy Baghdad and, in effect, rule Iraq….Had we gone the invasion route, the United States could conceivably still be an occupying power in a bitterly hostile land.[125]

The decision was taken by many to be a prudent assessment of risks.[126] Cheney and others who would serve Bush 43 supported the decision.

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[122] Renshon 1993: 93.
[123] Atkinson 1993: 450.
[124] Bush and Scowcroft 1998: 448.
[125] Ibid.: 489.
[126] Atkinson 1993: 477; for a contrary view, see Gordon and Trainor 1995: 476-477.

The evolution of the views of key players from 1991 to 2003, especially those of Cheney, has been the subject of much debate. In Cheney's case, even accounting for 9/11, some commentators have seen the environment in which he was embedded as a key factor. Although it comes from Powell, an assessment reported by Peter Baker is illuminating. Powell's theory was that Cheney had not changed, but that

> in the first Bush White House he was serving a president who was very sure of himself on foreign policy and national security. As defense secretary, Cheney had often expressed ideas similar to those he would advance as vice president. But back then, he was surrounded by other adults in the room with experience and gravitas…In this White House, Powell believed, Cheney was not effectively contained by anyone. He had a much freer hand with a president whose background gave him little real preparation to be commander in chief.[127]

In 1991, the elder Bush's strong foreign policy background helped keep the risks associated with the experience level of his team under control. Interestingly, in a biography with which he cooperated, George H.W. Bush noted that in his son's administration, Cheney's views had become "very hard-line and very different from the Dick Cheney I knew and worked with." Cheney "had his own empire there and marched to his own drummer," and Bush 41 felt that "the big mistake…was letting Cheney bring in kind of his own State Department." The elder Bush explicitly, however, noted that "it's not Cheney's fault, it's the president's fault."[128]

Principal-Agent Dynamics Beyond Iraq

Although the research design holds constant the Republican partisanship and hawkish preferences of the presidents, it remains difficult to disentangle the effects of hawkishness from biases such as overconfidence that are associated with experience. Furthermore, it is natural that presidents try to appoint like-minded advisers, so we might expect that biases point in the same direction as policy preferences like hawkishness. On the other hand, it is impossible to be sure

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[127] Baker 2013: 230-231. For a similar view, see Haass 2009: 220-221.
[128] Quoted in Meacham 2015: 589.

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of an adviser's preference on every issue, and one would expect experience to mitigate some

biases. Brief illustrations from other cases can help isolate the role of experience and show how

the argument generalizes.

First, there is evidence from the same administration over time, especially as leaders gain

experience. Take George W. Bush himself. Many accounts stress the different decision-making

dynamic by the time of the Iraq "surge" in 2007, with Bush significantly more willing to ask

questions, challenge his military and civilian advisers, listen to a variety of outside experts, and

ultimately overrule powerful figures who opposed the surge.[129]

Furthermore, the principal-agent dynamics identified here have manifested on both sides

of the aisle. Returning to the principal-agent combinations in Table 1, all combinations come

with risk—but this risk may take different forms. In the upper left, the case of experience in both

the principal and the agents might be termed "controlled risk." In addition to George H.W.

Bush, Dwight Eisenhower is an exemplar in this category. Eisenhower both directly and

indirectly managed his Secretary of State, John Foster Dulles, who tended to take a more

aggressive stance and was prone to bluster; one could argue that the administration's

"brinksmanship" depended on the ability to manage risk.[130] In the lower left, the inexperienced

principal/experienced agent combination leads to "excess risk." Notably, inexperienced

Democratic presidents have also found themselves magnifying biases that tend toward more

aggressive stances. When Harry Truman took over the presidency in 1945, for example, he was

both inexperienced and "totally unbriefed" on the complex policy FDR had been pursuing

toward the Soviets. Truman's inexperience and desire to "appear decisive and in command" led

him to rely excessively on his advisers and accelerate (though not cause) the confrontation with

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[129] Feaver 2011; Baker 2013: 478; more broadly, see ch. 27.
[130] See Gaddis 2005: ch.5.

the Soviets.[131] In the upper right, if an experienced principal appoints less-experienced agents, perhaps to maintain control or play key roles himself, there may be fewer efficiency gains from delegation, and there is a risk of overreliance on the principal. Franklin Roosevelt's advisory system, for example, was famously chaotic, with most of the authority vested in the president. The dominant role of John F. Kennedy in resolving the Cuban Missile Crisis also illustrates what we might term "centralized risk." Finally, in the lower right, if both the principal and the agents are inexperienced, there is "incompetent risk," since information gathering and processing will be weak at all levels.

Conclusion

The behavioral revolution has confirmed that individuals' experience matters for decision-making. Yet the interplay among elites with different levels of experience is less straightforward. Chief executives are undoubtedly important, but are not on the front lines of information gathering and planning. The preferences and beliefs—and resulting biases—of subordinates, as well as how those biases aggregate, also shape decision-making.

Moving from individuals to war outcomes reminds us that merely demonstrating that individual-level variation and bias matters is not enough. But political frameworks, such as the principle-agent model and theories of delegation, can help bridge from individuals to groups and institutions, and ultimately, to international outcomes. I have argued that the balance of experience between a leader and a group of advisers can affect how biases in the group aggregate. As the introductory essay suggests, one could imagine greater use of institutional theory in understanding how biases are expressed, or how institutions are designed to minimize or perhaps harness bias. Existing theories, in turn, can benefit from new individual-level

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[131] Gaddis 2005: 15.

insights, as this paper's exploration of the traits of principals and agents illustrates. More concretely, in the realm of bureaucratic oversight and delegation, the argument suggests areas for future research. Diminished foreign policy experience in Congress, for example, may reduce the effectiveness of oversight of the national security bureaucracy.[132] While reduced oversight might aid delegation, it may also increase risk-taking by national security agencies.

As the concluding essays stress, there remains much work to do on the aggregation front. Given the experimental advances highlighted by papers in this volume, as well as Tetlock and Lerner's work on accountability agents, a next step might be to study the balance of experience in a laboratory setting. Are groups with a leader who has a reputation for expertise in a game more likely to use available information, engage in pre-emptive self-criticism, and reign in risks, even when group members have played many rounds and gained experience?

Finally, the paper carries a sobering lesson for the politics of leadership. A team of seasoned veterans cannot fully compensate for an inexperienced leader, and inexperience in a presidential principal may enable or underwrite risky behavior by advisers. More generally, deviations from rationality depend not only on who you are and where you sit, but also who is in charge. The same experienced adviser may exhibit bias in some settings but not others. It is thus not enough to understand the biases of any one individual—the balance of characteristics within a group are also important. A fruitful next step in the new behavioral revolution would be to revisit questions about how powerful individuals interact, using theoretical and empirical tools to understand the political and institutional forces that bridge from the minds of decision-makers to outcomes on the international stage.

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[132] On legislative expertise and the frequency of Congressional oversight, see McGrath 2013.

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Table 1: Balance of Experience

	High Agent Experience	Low Agent Experience
High Principal Experience	No Imbalance: "Controlled Risk" (e.g., Bush 41, Eisenhower)	Imbalance: "Centralized Risk" (e.g., FDR, JFK)
Low Principal Experience	Imbalance: "Excess Risk" (e.g., Bush 43, Truman)	No Imbalance: "Incompetent Risk"

Table 2: How (In)Experienced Principals Affect Experienced Agents

Mechanism

	Monitoring	**Delegation**	**Diversity**
Principal-Agent Issue	Moral Hazard: (In)Experienced principals are (less) more able to explicitly and implicitly monitor experienced agents	Information Acquisition: (In)Experienced principals are (more) less able to credibly delegate to experienced agents	Adverse Selection: (In)Experienced principals are (less) more able to take advantage of a diversity of views or discrepant information
Examples of Biases Magnified/Diminished	Overconfidence	Overconfidence; availability heuristic	Homogeneity of views; ambiguity aversion
Effect on Decision-Making	Under inexperienced principals, leads to riskier behavior	Under inexperienced principals, incomplete or reduced information acquisition; riskier behavior	Under inexperienced principals, lack of de-biasing through credibly voiced alternative perspective; choices framed as more certain